

# Context-based Configuration of Process Variants

Alena Hallerbach<sup>1</sup>, Thomas Bauer<sup>1</sup>, and Manfred Reichert<sup>2</sup>

<sup>1</sup> Group Research and Advanced Engineering, Daimler AG, Ulm, Germany  
{alena.hallerbach, thomas.tb.bauer}@daimler.com

<sup>2</sup> Institute of Databases and Information Systems, Ulm University, Germany  
manfred.reichert@uni-ulm.de

**Abstract.** When designing process-aware information systems, usually, variants of the same process type have to be defined and maintained. Each of these process variants constitutes an adjustment of the same process to specific requirements building the variant context. Current business process management tools do not support the context-based definition and configuration of such variants in an adequate manner. Instead, each process variant has to be defined from scratch and be kept in a separate model. This results in considerable redundancies when modeling and adapting process variants, and is also a time consuming and error-prone procedure. This paper presents a more flexible and context-based approach for configuring and managing process variants. In particular, we allow for the configuration of process variants by applying a context-dependent set of well-defined change operations to a base process.

## 1 Introduction

The flow of activities which have to be performed to achieve specific goals is often captured in a process model. Usually, each model implements a particular process type (e.g., handling a credit request or travel cost refund) by describing process activities, execution constraints, required resources (e.g., humans or IT systems), and information processed. There exists a variety of tools like ARIS Business Architect [1], ADO-NIS [2], or WebSphere Business Modeler [3] for creating and managing such process models. Typically, respective process modeling tools are used to improve process transparency, to optimize a process, or to implement a process-aware information system, e.g., based on a Workflow Management System (WfMS) [4, 5].

Process support is required in almost all business domains (e.g., healthcare [6], engineering [7], or public administration). Characteristic process examples from the automotive domain, for example, include product creation, product change management, and release management [7]. In practice, different variants of a particular process type are usually required. Figure 1 a, for example, depicts a simplified product change process that starts with initiating a change request (Activity 1). Afterwards comments (cmts.) are requested from those departments that might be affected by the change (Activities 2a, 2b, and 2c). After all comments have been received an integrated change document is created (Activity 3). This document is then passed to the decision board which either approves the requested change or rejects it (Activity 4). In case of approval, the development department implements the change (Activity 5). Otherwise this step is skipped.

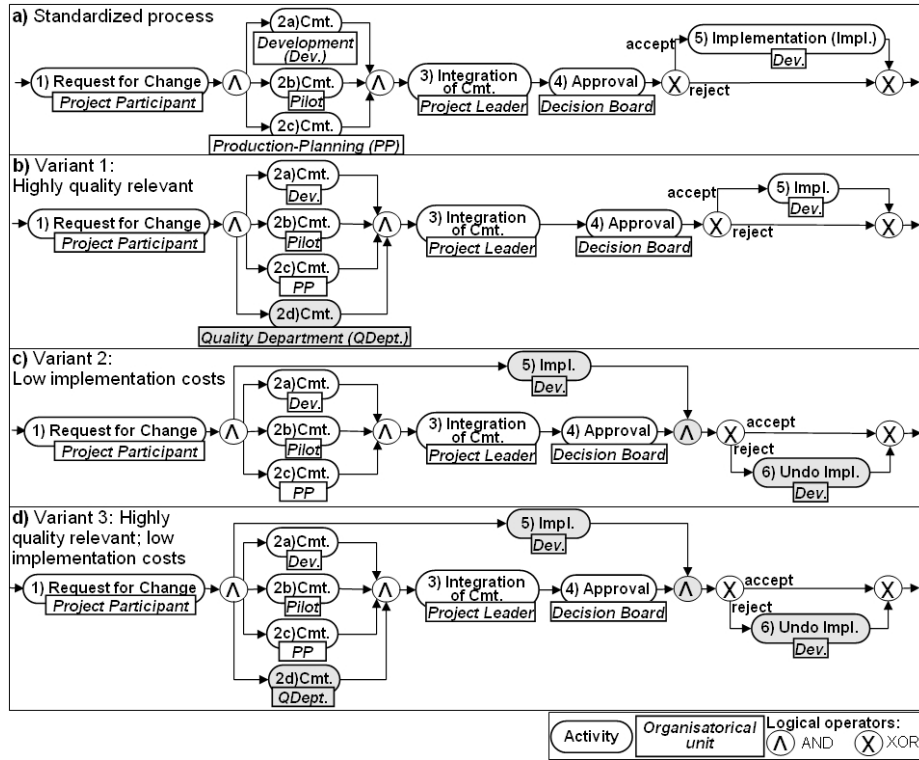


Fig. 1: Variants of a Standardized Product Change Process

Different variants of this process exist in practice: Variant 1 (cf. Figure 1 b) treats quality critical changes in a special way; i.e., the quality department is involved in the commenting process. At the model level this behavior can be realized by inserting an additional activity (Activity 2d) into the original process. Figure 1 c shows Variant 2 for which the change request is shortened. Particularly for changes with low implementation costs the development department often starts implementing the change without waiting for its approval. If the decision board rejects the request, change implementation will be undone later. This variant can be realized by moving Activity 5 to a position parallel to the commenting activities and by conditionally inserting the Undo activity (Activity 6). Finally, Variant 3 (cf. Figure 1 d) will be required if the change affects quality critical issues and can be shortened as well. This variant constitutes the combination of Variant 1 and 2. Thus, the process inherits all adjustments from these two variants; i.e., an additional comment is requested from the quality department and early implementation of the change becomes possible.

In practice, additional variants are required, e.g., satisfying specific constraints of the respective vehicle project or development phase. In existing approaches such process variants have to be defined and maintained in separate process models similar to those of Figure 1. This results in a large amount of redundant model data as the variant models are identical or similar for the most part. Considering the large number of

variants required in practice, this drawback increases modeling and maintenance efforts significantly. This is both time-consuming and error-prone. Unfortunately, current business process management tools do not allow for the context-based definition and configuration of a process variant that captures a given situation best.

This paper introduces the Provop (PROcess Variants by OPTions) approach. In particular, it provides an advanced solution for modeling process variants starting with a common process model and using an operational modeling approach. Provop allows for the context-based configuration of process variants, as discussed in detail in this paper, and enables full life cycle support [8]. The remainder of this paper is structured as follows: In Section 2 we introduce basic concepts of the Provop approach. Section 3 presents the configuration of process variants using context rules. Section 4 discusses related work. The paper concludes with a summary and an outlook in Section 5.

## 2 Basic Concepts of Provop

Our Provop approach has been motivated by the fact that a process variant can be typically created by “cloning” a given process model and by adjusting it to a given context. In Provop we adapt this method to base the different variants of a particular process type on a single *base process*. This base process can be a standard process or especially created for variant definition [9]. The variant-specific adjustments of the base process are expressed in terms of high-level *change operations*. Provop itself allows to INSERT, DELETE, and MOVE process fragments<sup>3</sup>. Furthermore, a MODIFY operation for changing attributes (e.g., actor assignment, activity durations) is provided. To allow for more complex process adjustments, change operations can be grouped into reusable sets, which we denote as *options*. Thus a particular process variant is configured by applying one or more options to the respective base process, i.e., by performing all change operations set out by these options [9].

Figure 2 illustrates the basic concepts of our approach taking the example of a product change process from Figure 1 (for the sake of readability we have reduced activity names to logically corresponding numbers): The process model depicted in Figure 1 a is considered as the base process from which all variants shall be derived (cf. Figure 2 a). The difference between Variant 1 (cf. Figure 1 b) and the base process can be described by an option (i.e., Option 1). This option comprises an INSERT operation that adds Activity 2d to the base process. The INSERT operation requires the exact position for inserting Activity 2d. Therefore we define *adjustment points* which are used to connect the incoming and outgoing edges of the new fragment with the base process<sup>4</sup>. By applying Option 1, i.e., by inserting Activity 2d between the adjustment points “start-comments” and “end-comments”, the process model named Variant 1 can be created (cf. Figure 2 c). To configure the model of Variant 2 (cf. Figure 1 c) we define Option 2 which consists of the following change operations: The first operation constitutes an insertion of the undo activity (Activity 6) between the adjustment points

<sup>3</sup> A process fragment is a sub-graph with at least one process element (e.g., node or edge).

<sup>4</sup> Note that adjustment points are placed at the entry or exit of a node in the base process and are used by a change operation as reference.

“rejected” and “rejection complete” from the base process. The second operation performs a MOVE of Activity 5 from its original position (given by the adjustment points “start-implementation” and “end-implementation” in the base process) to its new position between “start-comments” and “decision”. The resulting process model is depicted in Figure 2 c.

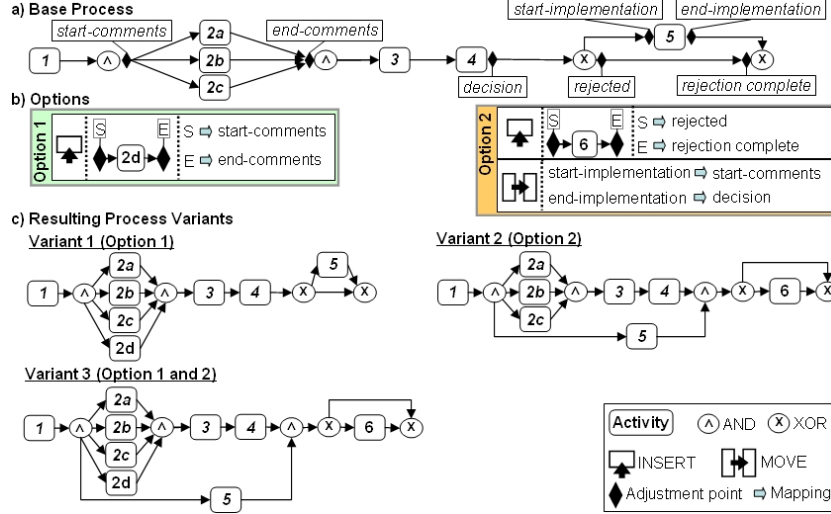


Fig. 2: Options and Process Variants in Provop

A main advantage of this operational modeling approach is the ability to configure new process variants without additional modeling efforts. More precisely, when applying both options conjointly and therefore performing all change operations defined by them the process model of Variant 3 (cf. Figure 1 d) results.

### 3 Configuring Process Variants Based on Context Rules

Basic to variant configuration in Provop is the controlled usage of change operations grouped as reusable sets (i.e., options). By selecting respective options and by applying them to a given base process the desired variant can be defined. Typically, particular process variants are required in a specific context. Therefore, Provop considers context-based variant configuration as a crucial concept. In Section 3.1 we motivate the need for context-awareness and describe the resulting requirements for our approach. Sections 3.2 - 3.4 explain how these requirements are met.

#### 3.1 Use Cases and Requirements

The product change management process as depicted in Figure 1 a can be considered as “standard” process that is used to derive different variants (cf. Figures 1 b - 1 d). Each variant then corresponds to a use case that occurs in a given context. This context is

provided by the attributes of the change request, which indicate, for example, whether the change is relevant for product quality, what the expected implementation costs are, and which product components are affected by the change. This can be expressed in terms of context variables, each with a given value range; e.g., the context variable *Implementation Costs* has one of the values “low”, “medium”, or “high”. Generally, each context variable defines one dimension of the process context which might be relevant for variant configuration. Consequently, multiple context variables correspond to a multidimensional cube. For example, the context of the product change process is given by the *context cube* depicted in Figure 3 (simplified view): The axes are corresponding to the context variables *Quality Relevance*, *Implementation Costs*, and *Phase*. When specifying the values for all context variables or a subset of them we obtain a particular process context, which logically corresponds to a *sub-cube* within the context cube. Simply speaking, each process variant is linked to a sub-cube which describes its valid context (cf. Figure 3).

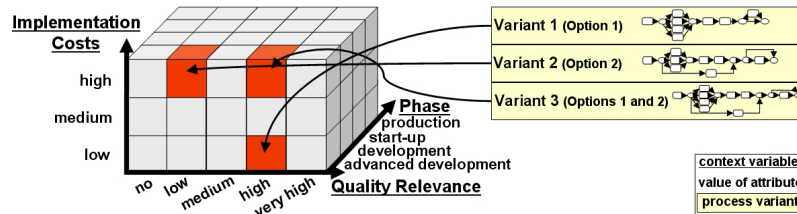


Fig. 3: Context Cube of Possible Context Descriptions

To allow for context-based variant configuration several requirements have to be met [10]:

**Req. 1 (User-friendly definition of context):** A key prerequisite concerns the definition and maintenance of a process context model. To obtain optimal support, an intuitive method is required to support these tasks.

**Req. 2 (Definition of valid and invalid value combinations):** A particular sub-cube of the context cube might not be valid. This will be the case, for example, if the combination of certain context values for a given set of variables does not make sense. Consequently, no consistent process variant is required in this context. All valid sub-cubes, in turn, require a consistent and correct variant assignment. In some way all “valid” sub-cubes must be identified and captured within the context model.

**Req. 3 (Context-based selection of options):** To allow for the context-based configuration of variants, options (i.e., collections of change operations) and context descriptions have to be linked. Thereby, it must be defined, which option(s) shall be used in a particular context (i.e., sub-cube). As Provop aims at modularity and reuse, single options should be applicable in different context; i.e., it must be possible to assign them to several sub-cubes. A process designer must further be able to design a particular variant by setting the respective context.

**Req. 4 (Context changes during runtime):** The values of context variables may change during process execution. Thus the currently selected process variant might become obsolete and might have to be replaced by another one; i.e., other options have to be chosen and applied to configure the desired process. If such a context change occurs during

runtime (i.e., while process instances of the variant to be replaced are executed in a WfMS), reconfiguration of the running process instances has to be supported. Such a reconfiguration may apply additional options to the base process and undo adjustments of obsolete options as well.

In the following we describe in detail how Provop deals with these requirements.

### 3.2 Process Context Definition

To allow for the context-based configuration of a process variant, first of all, a model for capturing the process context is needed (cf. Req. 1). In Provop, such a model comprises a set of *context variables*. Each context variable represents one specific dimension of the process context, and is defined by a name, a variable description, and a value range. In Provop we capture this context information in a table (cf. Figure 4). This allows the context modeler to create new entries and to manage existing ones in a simple and intuitive way.

Variable Name	Description	Value Range	Mode
Quality Relevance	Impact on quality critical issues	no, low, medium, high, very high	static
Implementation Costs	Costs that result when implementing the change	low, medium, high	static
Phase	Current phase of the development process	advanced development, development, start-up, production	dynamic
Vehicle Project	Name of a vehicle project	Current C-Class, Current E-Class, Next C-Class, Next E-Class, Hybrid Car	static

Fig. 4: Example of a Context Definition in Provop

The context variables shown in Figure 4 do not only differ in their names and values, but also in another important aspect. While some context variables are static (e.g., `Vehicle Project`) others are dynamic. For example, the context variable `Phase` has dynamic nature since it is updated after a development phase is completed (e.g., switching from “start-up” to “production”). This information is relevant, for example, to decide whether an option that is chosen based on a certain value of a context variable is ultimately defined or whether it may become obsolete during process execution. Therefore Provop captures the *mode* of a context variable as well (cf. Table 4).

Based on our experience there exist valid and invalid combinations regarding the values of the different context variables (cf. Req. 2). One simplified example that is highly relevant in practice is as follows: Due to its “very high” `Quality Relevance` a requested product change necessitates extended validation and verification actions, which leads to additional expenses. Consequently, setting the value of the context variable `Implementation Costs` to “low” at the same time is not a valid combination in practice. For each valid value combination, in turn, it must be ensured that a consistent and correct process model exists for the required variant. Therefore the consideration of such value interdependencies is crucial. Referring to the context cube depicted in Figure 3 a, a classification of valid and invalid combinations (i.e., sub-cubes) would lead to high efforts when considering the number of possible context variables in practice (typically about 10 to 20 variables) and their respective value ranges. In such scenarios a context cube is no longer sufficient. Simple constraints, for example, the value of one

context variable directly implies the value of another one (e.g., a *Vehicle Project* is always in exactly one *Phase*), can be modeled as a dependency graph like the one depicted in Figure 5 b. To be able to handle more complex dependencies, e.g., between multiple variables, Provop offers a rule-based logic (cf. Figure 5 c) in addition. All dependencies (i.e., simple and complex ones) are graphically illustrated in an overview on context variable level as depicted in Figure 5 a. By doing so, a user-friendly definition of complex context constraints with sufficient graphical support is realized.

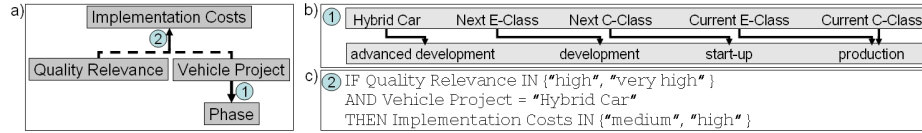


Fig. 5: a) Dependencies between Context Variables (Overview), b) Dependency Graph, and c) Dependency Rules

### 3.3 Context Rules

Provop follows an operational approach for variant modeling. Therefore, a direct mapping of already configured variants to a context description is not feasible. Instead, for configuring a process variant in a particular context, the relevant options must be selected based on the contextual knowledge (cf. Req. 3). In Provop this relationship between options and context values is realized by so called *context rules*. Regarding the current context all options whose context rules evaluate to “true” are applied to the base process and therefore determine the required variant. As a special case, the base process itself may be used as one particular process variant (i.e., no option is applied).

Figure 6 shows an example: Option 1 will be applied if a product change is of “high” *Quality Relevance*. Option 2, in turn, will be applied if the product change is requested for the *Vehicle Project* “Hybrid car”. For example, when evaluating the current context as specified by “high” *Quality Relevance*, “low” *Implementation Costs*, and the *Vehicle Project* “Current C-Class”, only the context rule assigned to Option 1 evaluates to “true”. As a consequence, Option 1 is applied to the base process creating the process model of the variant depicted in Figure 6 b.

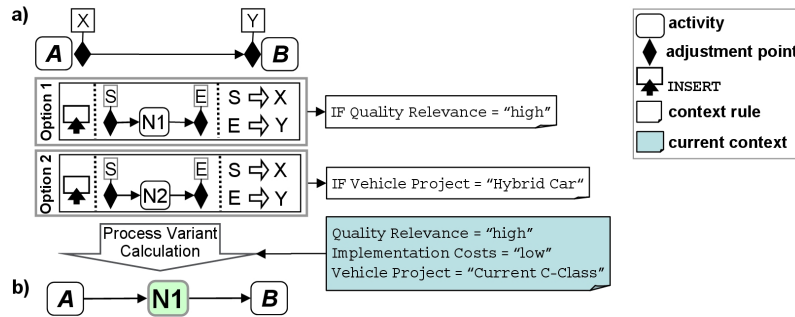


Fig. 6: a) Context Rules assigned to Options and b) the Resulting Process Variant

### 3.4 Context-based Reconfiguration of a Process Variant at Runtime

To capture context changes during process instance execution, Provop allows for the usage of dynamic context variables, whose values may change during process execution.<sup>5</sup> If dynamic context variables are used for defining a context rule of an option, the decision whether to apply the corresponding change operations or not has to be made at runtime (cf. Req.4). As a consequence, the respective process variant either cannot be completely configured when creating the process instance or has to be re-configured during runtime. To allow for the configuration of a complete (and therefore executable) process instance of a variant, Provop uses *variant branches* in its process models. The basic idea is to encapsulate the adjustments of single options within these variant branches. The split condition at the variant branch corresponds to the context rule of the option. Whenever process execution reaches a variant branch, the current context is evaluated. If the split condition evaluates to “true” the variant branch is executed, i.e., the change operations are applied to the base process. Otherwise, the variant branch is skipped and therefore all adjustments of the option are ignored.

Figure 7 a shows an example of a variant branch definition: As the application of Option 1 depends on the dynamic context variable *Phase* the corresponding process fragment is encapsulated in a variant branch (indicated by the encircled “less than” and “greater than” symbols). Furthermore, the context rule of Option 1 is used as a split condition during process execution. If it evaluates to “true” (cf. Figure 7 b) the variant branch will be executed, otherwise it will be skipped (cf. Figure 7 c).

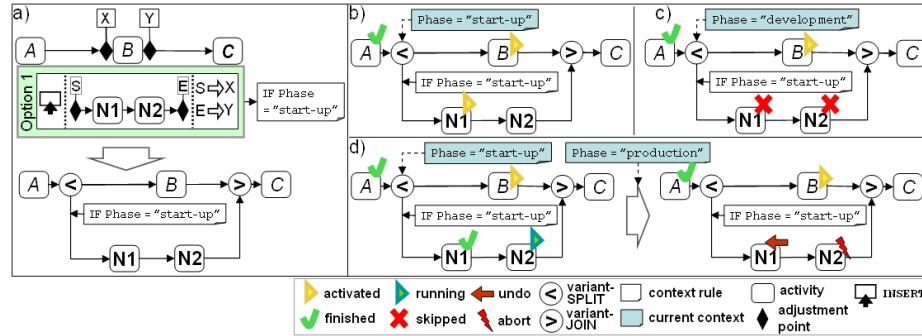


Fig. 7: Context Change during Runtime

A change update of a dynamic context variable at runtime may affect variant branches that are (partially) finished. Thus, if an evaluation result of a split condition becomes invalid a reconfiguration of the process will be required. In Figure 7 d, the product change process was started during the Phase “start-up”. Consequently the variant branch of Option 1 was activated. During the execution of this variant branch a context change occurs for the dynamic context variable *Phase*, which is set to “production”. Therefore, the context rule assigned to Option 1 evaluates to “false”. As a consequence

<sup>5</sup> Static variables are defined at process instance creation and cannot change during runtime.



the execution of the corresponding variant branch must be rolled back by an abort respective undo of the Activities N2 respective N1.

Since a rollback of completed activities is accompanied by the loss of work it is not always feasible. Therefore the behavior is controlled by a steering parameter. It indicates that rollbacks i) are always enabled, or ii) are always disabled, or iii) always require a decision of the process administrator whether or not they are performed.

## 4 Related Work

The context of an actor or a software system can be utilized to realize context-aware applications in different domains (e.g., healthcare [11] or learning solutions [12]). Such applications gather contextual information about a user or system and adapt their behavior accordingly. For example, in mobile computing context-aware applications might use information about the location of a user as well as the output device to provide context-specific services and to visualize information in an adequate way [13, 14].

Process management is concerned with context related aspects as well. For example, the context of a specific process task can be used to display data, information and knowledge relevant to perform this task.

In [15] a bottom-up approach for the (semi-)automatic configuration of project-specific process models is presented. Respective processes are created by combining generic process building blocks, taking into consideration project-specific context (e.g., expressed in terms of requirements that influence the process configuration). This approach requires a complete and therefore also extensive rule set to build consistent and executable processes out of process building blocks.

A top-down approach for process model configuration is described in [16]. Reference process models are represented using configurable Event-Process-Chains (c-EPC) [17]. Generally, such a reference process model is of recommending character and can be customized in different ways to meet specific needs. Therefore, a reference process model represents all possible control flow alternatives. Using a questionnaire that comprises questions expressed in natural language, configuration facts are gathered. These facts are evaluated at variation points within the reference process model to configure a specific model by either executing, skipping or optionally skipping a process element. As one drawback this approach only allows for the configuration of single elements at given points in the process model (i.e., it is not possible to mark a complete branch as mandatory or optional). It is also not possible to move or add model elements or to adapt element attributes like we do in Provop. As compared to reference process models, the basic process in Provop can be modeled without any restriction; i.e., it needs not to be defined with a specific use case in mind nor it constitutes a recommendation for all processes of a given type.

## 5 Conclusion

We have described the Provop approach for configuring context-based process variants: Context variables, context values and context constraints (e.g., valid value combinations) are defined in a user-friendly manner. The options used for a process variant are

selected when evaluating context rules. This enables a process variant configuration based on the current context. In addition, Provop allows reacting to context changes during runtime by late evaluation of split conditions of variant branches or the reconfiguration of running process variants. The need of the presented concepts and related requirements have been elicited in a number of case studies we conducted in the automotive domain; i.e., practical relevance of Provop is high.

In future work we will detail the Provop approach: We will address the configuration of consistent process variants, when multiple options are required in the specified context. Sophisticated techniques are required to prevent errors and consistency problems (e.g., deadlocks, data flow inconsistency) due to conflicting change operations. Finally, a detailed validation study of our concept based on a prototype that implements the Provop concept will be conducted.

## References

1. IDS Scheer: ARIS Platform Method 7.0. (2006)
2. BOC: The Business Process Management Tool ADONIS. (2007) (in German).
3. IBM: IBM WebSphere Business Modeller, Version 6.1. (2007)
4. Dumas, M., van der Aalst, W., ter Hofstede, A.: Process-aware Information Systems. Wiley, Los Angeles, CA (2005)
5. Weske, M.: Business Process Management - Concepts, Languages, Architectures. Springer (2007)
6. Lenz, R., Reichert, M.: IT Support for Healthcare Processes - Premises, Challenges, Perspectives. *Data and Knowledge Engineering* **61** (2007) 39–58
7. Müller, D., Herbst, J., Hammori, M., Reichert, M.: IT Support for Release Management Processes in the Automotive Industry. In: *Proc. of the 4th Int. Conf. on Business Process Management*. (2006) 368–377
8. Hallerbach, A., Bauer, T., Reichert, M.: Managing Process Variants in the Process Life Cycle. In: *Proc. of the 10th Int. Conf. on Enterprise Information Systems*. (2008)
9. Hallerbach, A., Bauer, T., Reichert, M.: Modelation and Visualization of Process Variants in Provop. In: *Proc. of Modellierung, Berlin* (2008) (in German).
10. Hallerbach, A., Bauer, T., Reichert, M.: Requirements for Modelation and Visualization of Process Variants. In: *Datenbank Spektrum*. (2008) (in German).
11. Bricon-Souf, N., Newman, C.: Context-awareness in Healthcare: A Review. In: *International Journal of Medical Informatics*. Number 76. Elsevier (2007) 2–12
12. Schmidt, A.: Potentials and Challenges of Context-awareness for Learning Solutions. In: *Proc. 13th Annual Workshop of the SIG Adaptivity and User Modeling in Interactive Systems*. (2005)
13. Schilit, B., Theimer, M., Welch, B.: Customizing Mobile Applications. In: *Proc. of the Symp. on Mobile and Location-independent Computing*, Cambridge, MA (1993) 129–138
14. Schilit, B., Adams, N., Want, R.: Context-aware Computing Applications. In: *Proc. Workshop on Mobile Computing Systems and Applications*. (1994) 85–90
15. Rupprecht, C., Rose, T., van Halm, E., Zwegers, A.: Project-specific Process Configuration in Virtual Enterprises. In: *Proc. of the 4th Int. Conf. on the Design of Information Infrastructure Systems for Manufacturing*, Deventer, The Netherlands (2000) 46–53
16. Rosa, M.L., Lux, J., Seidel, S., Dumas, M., ter Hofstede, A.: Questionnaire-driven Configuration of Reference Process Models. In: *Proc. of the 19th Int. Conf. on Advanced Information Systems Engineering*. (2007)
17. Rosemann, M., van der Aalst, W.: A Configurable Reference Modelling Language. *Information Systems* **32** (2007) 1–23